

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Herron et al.

**Serial No.:** 09/973,557

**Filed:** October 10, 2001

**For:** INTEGRATED OPTIC WAVEGUIDE  
IMMUNOSENSOR

**Confirmation No.:** 7868

**Examiner:** L. Ramillano

**Group Art Unit:** 1743

**Attorney Docket No.:** 0274.02-2455.3US  
(U-2025.3)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 1, 2007  
Date

Signature

Erika Gandre  
Name (Type/Print)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

180.00 00

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Publication Date</u>	<u>Patentee</u>
US - 5,071,248	12/10/1991	Tiefenthaler et al.

Foreign Patent Documents

<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
EP 0 519 623 A2	12/23/1992	CIBA Corning Diagnostics Corp.
WO 94/27137	11/24/1994	University of Utah Research Foundation
WO 99/36787	07/22/1999	Discovery Technologies AG (English Abstract included)

Other Documents

ZHOU et al., "Multichannel Evanescent Fluorescence Immunosensing Using Potassium and Sodium Ion-Exchanged Patterned Waveguides," Journal of Molecular Electronics, Vol. 7 (July 1991), pp. 135-149.

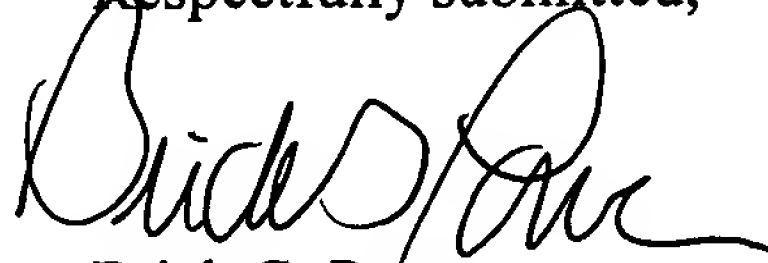
Serial No. 09/973,557

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

The fee pursuant to 37 C.F.R. § 1.17(p) is enclosed.

Respectfully submitted,



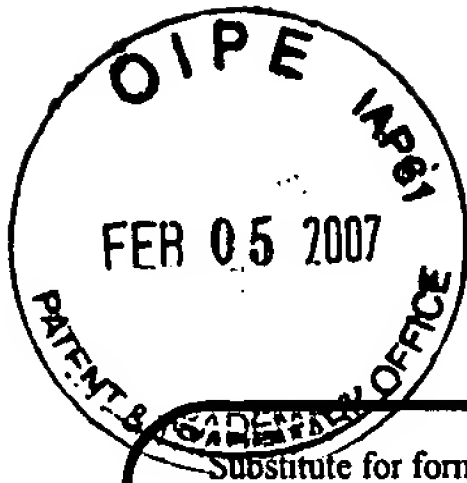
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Enclosures: Form PTO/SB/08 (2 pages)  
Copies of Cited Non-U.S. Patent Documents (4 documents)  
Check No. 10766 in the amount of \$180.00

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PTO/SB/08B(10-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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### Complete if Known

Application Number	09/973,557
Filing Date	October 10, 2001
First Named Inventor	Herron et al.
Group Art Unit	1743
Examiner Name	L. Ramillano
Attorney Docket Number	0274 02-2455 311S

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ZHOU et al., "Multichannel Evanescent Fluorescence Immunosensing Using Potassium and Sodium Ion-Exchanged Patterned Waveguides," Journal of Molecular Electronics, Vol. 7 (July 1991), pp. 135-149.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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